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**(54) Title:** LOTION WHICH IS TEMPORARILY COLORED UPON APPLICATION**(57) Abstract**

A lotion such as a sunscreen includes a pH indicator which colorizes the lotion until the lotion is applied to the human skin, whereinafter the colored lotion turns clear. A physiologically compatible pH indicator such as phenolphthalein is used which has a red appearance from pH 7.5+ and which has a clear appearance from about pH 7.0 to 7.5, the general pH range of the skin. The invention is suitable for use in any lotion, gel, mousse or medication that is best applied in an even and uniform manner to the skin. Accordingly, one preferred use of the invention is in UV-protecting sunscreens so that a user can ensure even distribution of the sunscreen on the body. In certain aspects of the invention, a cap houses the pH indicator and attaches to a container of the lotion, e.g., a sunscreen. The pH indicator mixes with the lotion as it is applied to the skin. The invention further provides methods of manufacturing sunscreens and the like with a pH indicator that turns substantially colorless upon application to the skin.

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LOTION WHICH IS TEMPORARILY COLORED UPON APPLICATION

**Background of the invention**

5 Darker skin pigmentation is considered desirable by many persons, socially and aesthetically. At present, the most common method for darkening the skin is through sun-tanning, using either natural sunlight or specially designed ultraviolet (UV) light sources, e.g., tanning lamps.

10 However, extended exposure of human skin to ultraviolet light is known to have adverse consequences, both in the short term and in the long term. Specifically, in the short term, individuals exposed to UV risk a painful sunburn and keratitis. In the long term, extended exposure to ultraviolet radiation can result in photo-aging and "leathery" skin, and can further result in various forms of skin cancer and ultimately death.

15 Fair-skinned individuals are particularly susceptible to sun-induced skin disorders and cancers. For example, they face a higher risk of melanoma (skin cancer), and often incur photo-aging or dermatoheliosis, a condition characterized by wrinkling, irregular pigmentation, and surface roughness. However, even darker skinned individuals exposed to prolonged sunlight incur  
20 a high risk of skin cancer and exacerbated aging.

These risks, together with the continued desirability of the suntan look, have resulted in a wide range of UV protection sunscreen agents. Such sunscreen agents are typically suspended in a cream, lotion, gel, mousses, waxed based  
25 sticks, aerosols, and alcohol sticks for topical application to the skin. For example, the Coppertone™ Company makes a large assortment of popular sunscreen lotions with varying degrees of sun block which extend the body's normal resistance to UV radiation.

30 One important step in the proper application of an UV protective sunscreen lotion on the body is the even and complete coverage of all bodily areas which are exposed to the sun. Typical sunscreen lotions and the like are applied as clear or white creams that are difficult to see upon application. It is thus difficult for a user of these typical sunscreen lotions to assure even and complete  
35 coverage on the body. Consequently, it is common to miss spots, resulting in an uneven tan or burn, and the increased susceptibility to the several risks discussed above.

It is, accordingly, an object of the invention to provide a sunscreen agent which increases the likelihood of even and complete coverage when applied to the body.

5 Another object of the invention is to provide a UV protective sunscreen lotion which reduces the risks associated with improper application to the body.

Still another object of the invention is to provide improvements to lotions, gels, mousses and creams that are applied topically to the human skin.

10 These and other objects of the invention will become apparent in the description which follows.

### Summary of the Invention.

15 As used herein, "color indicator," "indicator," or "CI," includes the naturally occurring and synthetic derivatives of pH indicators. A "pH indicator" is a compound that changes its visible color upon a change in pH (hydrogen ion concentrations). Suitable pH indicators according to the invention include those  
20 indicators which are clear or colorless in the pH range of approximately 6.5 - 8.0, and which are colored or visible above or below that pH range.

The present invention includes a method for applying and distributing sunscreens and lotions evenly. In one aspect of the invention, a color indicator  
25 is added to such sunscreens or lotions for visual detection by a user of the sunscreen or lotion. The CI in the sunscreen or lotion composition initially has a visible color upon application to the skin, and changes or becomes clear (colorless) after a short time period (i.e., between about 0.5 and 10 minutes), thereby permitting the normal or unimpeded use of the product for its intended  
30 function (i.e., after the short time period, the user is not colored by the CI but rather has a skin color appearance that normally results from application of an uncolored lotion or sunscreen). The presence of the visible color on the epidermis is indicative of the location and amount of composition thereon, thereby enabling total coverage with, and even distribution of, the composition.  
35 In one aspect of the invention, such an even distribution of sunscreen ointment results in uniform protection from exposure to UV radiation.

The invention is next described further in connection with preferred embodiments, and it will be apparent that various additions, subtractions, and

modifications can be made by those skilled in the art without departing from the scope of the invention

### **Brief description of the Figures**

5

The present invention may be more easily and completely understood when taken in conjunction with the accompanying drawings, in which:

Figure 1 illustrates a typical use of the invention;

10

Figure 2 is a graph illustrating certain effects of indicator concentrations on the time course of CI change from visible to clear;

15

Figure 3 is a graph illustrating certain effects of concentrations on CI change from visible to clear when dissolved in a common sunscreen in ten volunteers;

20

Figure 4 shows a cap constructed according to the invention for adding a CI selectively to a lotion suitable for application on the body;

Figure 4A illustrated further features of the cap of Figure 4; and

25

Figure 4B illustrates flow rate overlapping holes which are suitable for use with the cap of Figure 4 to control flow and color rate.

### Detailed Description of Illustrated Embodiments

The present invention provides compositions for application to the skin, including a pH indicator and methods of their preparation, as well as apparatus  
5 for temporarily colorizing the epidermis.

The CI suitable for use in the invention are those CIs which are physiologically compatible with the skin, and which readily dissolve in creams and lotions. The CIs may be used individually or in combination. Suitable CIs  
10 are also those physiologically acceptable substances which appear clear at a pH of between approximately 6.5 and 8.0, and which appear colored at a pH outside this range. Suitable CIs also include those substances listed in Table 1 hereto.

The invention utilizes the fact that the pH of normal human skin is  
15 between approximately 7.0 and 7.5 at any given time. Therefore, one CI according to the invention has (i) clear appearance at a pH in the normal skin range of 7.0 to 7.5 and (ii) a colored appearance at a pH outside this range. Typical sunscreen creams and sun lotions have a pH of 7.5 or above. Thus, if a CI is chosen which is red at pH 8.0, and that CI is added to a lotion with a pH of 8.0, then the lotion  
20 will appear red. When applied to the skin, however, the skin's pH will shift the overall lotion pH from 8.0 to 7.0-7.2, and will thus change the CI from red to colorless.

Alternatively, a sunscreen or lotion according to the invention is one  
25 which (i) has a pH of approximately 6.5 (or less) and (ii) utilizes a CI which is colored at pH 6.5 (or less) and colorless at pH 7.0 to 7.5. Consequently, upon application to human skin, the initially colored sunscreen or lotion pH will shift upwards, due to the skin's pH, to approximately pH 7.0 to 7.5, and will thus become colorless at that time.

30 Indicators according to the invention can be added during the manufacture or the formulation of the lotion, such as described below. Alternatively, by controlling the pH of the lotion, the CIs can also be added after formulation.

35 As described above, suitable indicators according to the invention are those which undergo a color change from colored to clear (i.e., substantially colorless) at a pH range of 6.5-8.0, and which are suitable for application to the human skin. Suitable indicators, for example, include phenolphthalein, s-

cresolphthalein, thymolphthalein, quinazolinedione, and ethyl-bis ethanoate. A color indicator such as phenolphthalein, for example, changes color from visible to clear when its pH changes from a pH that is higher or lower than 7.0-7.4 to a pH of 7.0-7.4. Other indicators according to the invention, such as Coumarin, and dioxyxanthone, respond to pH changes by changing from a fluorescent color to clear. A more comprehensive description of the indicators according to the invention is provided below in Table 1.

In accord with one embodiment of the invention, CIs are employed topically. For topical use, it is desirable that a CI is dispersed in an emulsion of sunscreen or lotion and applied to the skin. For this purpose, the CIs are intended to be admixed in a pharmacologically acceptable topical carrier such as a gel, an ointment, a lotion, or a cream. Such carriers include, but are not limited to, water, glycerol, alcohol, propylene glycol, fatty alcohols, triglycerides, fatty acid esters, and mineral oils.

Sunscreening agents according to the invention include the UVA-type (typical UVA-type sunscreens include certain benzophenones and dibenzoyl methanes), the UVB type (typical UVB type sunscreens include substituted para-aminobenzoates, alkyl esters of para-methoxycinnamate and certain esters of salicylic acid), or a combination of the two. Generally, the sunscreens are used in amounts effective to provide the desired level of protection against UVA and/or UVB radiation. For example, the sunscreens are generally used in the amounts of about 2% to about 20% by weight of the total composition, with about 5% to about 18% being preferred, and about 2% to about 15% being most preferred.

Representative UVB-type sunscreens suitable for use with the invention include, without limitation, the following:

(A) DEA methoxycinnamate (diethanolamine salt of p-methoxy hydro cinnamate), e.g., tradename BERNEL HYDRO from Bernel Chemical Co., Inc.

(B) ethyl dihydroxypropyl PABA (ethyl dihydroxypropyl p-aminobenzoate), e.g., tradename AMERSCREEN R from Amerchol Corp.;

- (C) glycerol PABA (glyceryl-p-aminobenzoate), e.g., tradename NIPA G.M.P.A from NIPA Laboratories Inc.;
- 5 (D) homosalate (Homomenthyl salicylate), e.g., tradename KEMESTER HMS from Humko Chemical;
- (E) octocrylene (2-ethylhexyl-2-cyano-3,3-diphenylacrylate), e.g., tradename UVINUL N-539 from BASF Chemical Co.;
- 10 (F) octyl dimethyl PABA (OOctyl dimethyl p-aminobenzoate, 2-ethylhexyl p-dimethylaminobenzoate, Padimate O), e.g., tradenames AMERSCOL, ARLATONE UVB, and ESCALOL 507 from Amerchol Corp., ICI Americas, Inc., and Van Dyk, respectively;
- 15 (G) octyl methoxycinnamate (2-ethylhexyl-p-methoxycinnamate), e.g., tradename PARSO\_MCX from Bernel Chemical Co., Inc., or Givauden Corp.;
- 20 (H) octyl salicylate (2-ethylhexy salicylate), e.g., tradename SUNAROME WMO from Felton Worldwide, Inc.;
- (I) PABA (p-amino benzoic acid), e.g., tradename PABA from EM Industries, Inc. and National Starch & Chemical Corp., and tradename
- 25 NIPA PABA from NIPA Laboratories Inc.;
- (J) 2-phenyl-benzimidazole-5-sulphonic acid (Novantisol), e.g., tradename EUSOLEX 232 and NEO-HELIOPAN HYDRO from EM Industries, Inc. and Haarmann & Reimer Corp., respectively;
- 30 (K) TEA salicylate (triethanolamine salicylate), e.g., tradenames SUNADROME W and SUNDROME G from Felton Worldwide, Inc.;
- 35 (L) 3-(4-methylbenzylidene)camphor or 3-(4-methylbenzylidene)boran-2-one, e.g., tradename EUSOLEX 6300 from EM Industries, Inc.; and



(M) etocrylene (2-ethyl-2-cyano-3,3"-diphenylacrylate), e.g.,  
tradename UVINUL N-35 from BASF Chemical Co.

5 Representative UVA type suncreening agents suitable for use with the  
invention include, without limitation, the following:

(A) benzophenone-3 (2-hydroxy-4-methoxy-benzophenone), e.g.,  
tradename SPECTRA-SORB UV-9 and UVINUL M-40 from American  
Cyanamid Co. and BASF Chemical Co., respectively;

10

(B) benzophenone-4 (sulisobenzone), e.g., tradename UVINUL MS-40  
from BASF Chemical Co.;

15

(C) benzophenone-8 (dioybenzone), e.g., tradename  
SPECTRA-SORB UV-24 from American Cyanamid Co.;

(D) menthyl anthranilate (menthyl-O-aminobenzoate), e.g.,  
tradename SUNAROME UVA from Felton Worldwide, Inc.;

20

(E) benzophenone-1 (2,4-dihydroxybenzophenone), e.g.,  
tradename UVINUL 400 and UVASORB 2OH from BASF Chemical Co.  
and TRI-K Industries, Inc., respectively;

25

(F) benzophenone-2 (2,2',4,4'-tetrahydroxy-benzohpenone), e.g.,  
tradename UVINUL D-50 from BASF Chemical Co.;

(G) benzophenone-6 (2,2'-dihydroxy-4,4'-dimethoxy-  
benzophenone), e.g., tradename UVINUL D-49 from BASF  
Chemical Co.;

30

(H) benzophenone-12 (octabenzone), e.g., tradename UVINOL 408 from  
BASF Chemical Co.;

35

(I) 4-isopropyl dibenzoyl methane (1-p-cumenyl-3-  
phenylpropane-1,3-dione), e.g. tradename EUSOLEX 8020 from EM  
Industries Inc.; and

(J) butyl methyl dibenzoyl methane (4-t-butyl-4'-methoxydibenzoyl methane), e.g. tradename PARSOL 1789 from Givaudan Corporation.

5 Physical sunscreens agents may also be added to the composition according to the invention. For example, red petrolatum in amounts of about 30% to about 99% by weight of the total composition, or titanium dioxide in amounts of about 2% to about 25% by weight of the total composition can be used. Talc, kaolin, chalk, and precipitated silica can also be used in effective  
10 amounts, e.g., about 1% to about 10% by weight of the total composition.

Additional sunscreens agents according to the invention include lawsone hydroxynaphthoquinone,  $C_{10}H_6O_3$  (the coloring matter of henna leaves) with dihydroxy acetone.

15 In accord with preferred embodiments of the invention, at least one UVA-type or UVB-type sunscreens agent is preferably used in compositions designed to inhibit UV radiation. For example, the following UVB-type sunscreens agents can be used according to the invention: from about 1.5% to about 8.0% by weight of the total composition of octyl dimethyl PABA; octyl para-methoxycinnamate in amounts of about 1.5% to about 7.5% by weight of the total composition; homomenthyl salicylate in amounts of about 4.0% to about 15% by weight of the total composition; and octyl salicylate in amounts of about 3% to about 5% by weight of the total composition.

25 In another embodiment, at least one of the following UVA type sunscreens agents can be added: benzophenone-3 in amounts of about 0.5% to about 6% by weight of the total composition; benzophenone-8 in amounts of about 0.5% to about 3% by weight of the total composition; and menthyl anthranilate in amounts of about 3.5% to about 5.0% by weight of the total composition.

35 The color indicator compositions according to the invention can be incorporated into formulations such as lotions, creams, gels, mousses, waxed based sticks, aerosols, alcohol sticks and the like. These formulations are well known in the art. For example, information regarding such formulations may be found in (i) Balsam, M.S., and Sagrin, E. (Editors) Cosmetics Science and Technology, Second Edition, Volumes 1 and 2, Wiley-Interscience, a division of John Wiley & Sons, Inc., New York, copyright 1972; and (ii) Flick, E.W., Cosmetic

and Toiletry Formulations, Noyes Publications, 1984, each of which is incorporated herein by reference.

5 In addition to the UV-blocking additives described above, lotions and/or sunscreens according to the invention can include other compounds, including any of the following: (i) emollients, (ii) emulsifiers, (iii) surfactants, (iv) waxes, (v) thickeners, (vi) film formers, (vii) preservatives, and (viii) perfumes.

### Emollients

10

Emollients may be used according to the invention in amounts which are effective to prevent or relieve dryness. Useful emollients include, without limitation: hydrocarbon oils and waxes; silicone oils; triglyceride esters; acetoglyceride esters; ethoxylated glyceride; alkyl esters; alkenyl esters; fatty acids; 15 fatty alcohols; fatty alcohol ethers; etheresters; lanolin and derivatives; polyhydric alcohols (polyols) and polyether derivatives; polyhydric alcohol (polyol) esters; wax esters; beeswax derivatives; vegetable waxes; phospholipids; sterols; and amides.

20

Thus, for example, typical emollients include mineral oil, especially mineral oils having a viscosity in the range of 50 to 500 SUS, lanolin oil, mink oil, coconut oil, cocoa butter, olive oil, almond oil, macadamia nut oil, aloa extract, jojoba oil, safflower oil, corn oil, liquid lanolin, cottonseed oil, peanut oil, purcellin oil, perhydrosqualene (squalene), castor oil, polybutene, odorless 25 mineral spirits, sweet almond oil, avocado oil, calophyllum oil, ricin oil, vitamin E acetate, olive oil, mineral spirits, cetearyl alcohol (mixture of fatty alcohols consisting predominantly of cetyl and stearyl alcohols), linolenic alcohol, oleyl alcohol, octyl dodecanol, the oil of cereal germs such as the oil of wheat germ cetearyl octanoate (ester of cetearyl alcohol and 2-ethylhexanoic acid), cetyl 30 palmitate, diisopropyl adipate, isopropyl palmitate, octyl palmitate, isopropyl myristate, butyl myristate, glyceryl stearate, hexadecyl stearate, isocetyl stearate, octyl stearate, octylhydroxy stearate, propylene glycol stearate, butyl stearate, decyl oleate, glyceryl oleate, acetyl glycerides, the octanoates and benzoates of (C12-C15) alcohols, the octanoates and decanoates of alcohols and polyalcohols such as 35 those of glycol and glycerol, and ricin- oleates of alcohols and poly alcohols such as those of isopropyl adipate, hexyl laurate, octyl dodecanoate, dimethicone copolyol, dimethiconol, lanolin, lanolin alcohol, lanolin wax, hydrogenated lanolin, hydroxylated lanolin, acetylated lanolin, petrolatum, isopropyl lanolate,

cetyl myristate, glyceryl myristate, myristyl myristate, myristyl lactate, cetyl alcohol, isostearyl alcohol, stearyl alcohol, and isocetyl lanolate, and the like.

### Emulsifiers

5  
Emulsifiers (i.e., emulsifying agents) are also used in certain aspects of the invention in amounts effective to provide uniform blending of ingredients of the composition. Useful emulsifiers include (i) anionics such as fatty acid soaps, e.g., potassium stearate, sodium stearate, ammonium stearate, and  
10 triethanolamine stearate; polyol fatty acid monoesters containing fatty acid soaps, e.g., glycerol monostearate containing either potassium or sodium salt; sulfuric esters (sodium salts), e.g., sodium lauryl 5 sulfate, and sodium cetyl sulfate; and polyol fatty acid monoesters containing sulfuric esters, e.g., glyceryl monostearate containing sodium lauryl sulfate; (ii) cationics chloride such as N(stearoyl  
15 colamino formylmethyl) pyridium; N-soya-N-ethyl morpholinium ethosulfate; alkyl dimethyl benzyl ammonium chloride; diisobutylphenoxyethoxyethyl dimethyl benzyl ammonium chloride; and cetyl pyridium chloride; and (iii) nonionics such as polyoxyethylene fatty alcohol ethers, e.g., monostearate; polyoxyethylene lauryl alcohol; polyoxypropylene fatty alcohol ethers, e.g.,  
20 propoxylated oleyl alcohol; polyoxyethylene fatty acid esters, e.g., polyoxyethylene stearate; polyoxyethylene sorbitan fatty acid esters, e.g., polyoxyethylene sorbitan monostearate; sorbitan fatty acid esters, e.g., sorbitan; polyoxyethylene glycol fatty acid esters, e.g., polyoxyethylene glycol monostearate; and polyol fatty acid esters, e.g., glyceryl monostearate and propylene glycol monostearate; and  
25 lanolin derivatives, e.g., ethoxylated lanolins, ethoxylated lanolin alcohols and ethoxylated cholesterol.

### Surfactants

30 Surfactants are also used in certain compositions of the invention. Suitable surfactants may include, for example, those surfactants generally grouped as cleansing agents, emulsifying agents, foam boosters, hydrotropes, solubilizing agents, suspending agents and nonsurfactants (facilitates the dispersion of solids in liquids).

35

The surfactants are usually classified as amphoteric, anionic, cationic and nonionic surfactants. Amphoteric surfactants include acylamino acids and derivatives and N-alkylamino acids. Anionic surfactants include: acylamino acids and salts, such as, acylglutamates, acylpeptides, acylsarcosinates, and

acyltaurates; carboxylic acids and salts, such as, alkanolic acids, ester carboxylic acids, and ether carboxylic acids; sulfonic acids and salts, such as, acyl isethionates, alkylaryl sulfonates, alkyl sulfonates, and sulfosuccinates; sulfuric acid esters, such as, alkyl ether sulfates and alkyl sulfates. Cationic surfactants include: alkylamines, alkyl imidazolines, ethoxylated amines, and quaternaries (such as, alkylbenzyltrimethylammonium salts, alkyl betaines, heterocyclic ammonium salts, and tetra alkylammonium salts). And nonionic surfactants include: alcohols, such as primary alcohols containing 8 to 18 carbon atoms; alkanolamides such as alkanolamine derived amides and ethoxylated amides; amine oxides; esters such as ethoxylated carboxylic acids, ethoxylated glycerides, glycol esters and derivatives, monoglycerides, polyglyceryl esters, polyhydric alcohol esters and ethers, sorbitan/sorbitol esters, and triesters of phosphoric acid; and ethers such as ethoxylated alcohols, ethoxylated lanolin, ethoxylated polysiloxanes, and propoxylated polyoxyethylene ethers.

### Waxes

Suitable waxes which are useful in accord with the invention include: animal waxes, such as beeswax, spermaceti, or wool wax (lanolin); plant waxes, such as carnauba or candelilla; mineral waxes, such as montan wax or ozokerite; and petroleum waxes, such as paraffin wax and microcrystalline wax (a high molecular weight petroleum wax). Animal, plant, and some mineral waxes are primarily esters of a high molecular weight fatty alcohol with a high molecular weight fatty acid. For example, the hexadecanoic acid ester of tricontanol is commonly reported to be a major component of beeswax.

Other suitable waxes according to the invention include the synthetic waxes including polyethylene polyoxyethylene and hydrocarbon waxes derived from carbon monoxide and hydrogen (Fischer-Tropsch synthesis).

Representative waxes also include: ceresin; cetyl esters; hydrogenated jojoba oil; hydrogenated jojoba wax; hydrogenated rice bran wax; Japan wax; jojoba butter; jojoba oil; jojoba wax; mink wax; montan acid wax; ouricury wax; rice bran wax; shellac wax; sulfurized jojoba oil; synthetic beeswax; synthetic jojoba oils; trihydroxystearin; cetyl alcohol; stearyl alcohol; cocoa butter; fatty acids of lanolin; mono-, di- and triglycerides which are solid at 25°C, e.g., glyceryl tribehenate (a triester of behenic acid and glycerine) and C18-C36 acid triglyceride (a mixture of triesters of C18-C36 carboxylic acids and glycerine) available from Croda, Inc., New York, NY under the tradenames Syncrowax HRC

and Syncrowax HGL-C, respectively; fatty esters which are solid at 25°C; silicone waxes such as methyloctadecaneoxypolysiloxane and poly (dimethylsiloxyl) stearoxy siloxane; stearyl mono- and diethanolamide; rosin and its derivatives such as the abietates of glycol and glycerol; hydrogenated oils solid at 25°C; and sucroglycerides. Thickeners (viscosity control agents) which may be used in effective amounts in aqueous systems include: algin; carbomers such as carbomer 934, 934P, 940 and 941; cellulose gum; cetearyl alcohol, cocamide DEA, dsxtrin; gelatin; hydroxyethylcellulose; hydroxypropylcellulose; hydroxypropyl methylcellulose; magnesium aluminum silicate; myristyl alcohol; oat flour; oleamide DEA; oleyl alcohol; PEG-7M; PEG-14M; PEG-90M; stearamide DEA; Stearamide MEA; stearyl alcohol; tragacanth gum; wheat starch; xanthan gum; and the like. in the above list of thickeners, DEA is diethanolamine, and MEA is monoethanolamine. Thickeners (viscosity control agents) which may be used in effective amounts in nonaqueous systems include, aluminum stearates; beeswax; candelilla wax; carnauba; ceresin; cetearyl alcohol; cetyl alcohol; cholesterol; hydrated silica; hydrogenated castor oil; hydrogenated cottonseed oil; hydrogenated soybean oil; hydrogenated tallow glyceride; hydrogenated vegetable oil; hydroxypropyl cellulose; lanolin alcohol; myristyl alcohol; octyldodecyl stearyl sulfate; oleyl alcohol; ozokerite; microcrystalline wax; paraffin; pentaerythrityl tetraoctanoate; polyacrylamide; polybutene; polyethylene; propylene glycol dicaprylate; propylene glycol dipelargonate; stearyl hectorite; stearyl alcohol; stearyl stearate; synthetic beeswax; trihydroxystearin; trilinolein; tristearin; zinc stearate; and the like.

## 25 Film Formers

Suitable film formers which are used in accord with the invention include: acrylamide/sodium acrylate copolymer; ammonium acrylates copolymer; Balsam Peru; cellulose gum; ethylene/maleic anhydride copolymer; hydroxyethylcellulose; hydroxypropylcellulose; polyacrylamide; polyethylene; polyvinyl alcohol; pvm/MA copolymer (polyvinyl methylether/ maleic anhydride); PVP (polyvinylpyrrolidone); maleic anhydride copolymer such as PA-18 available from Gulf Science and Technology; PVP/hexadecene copolymer such as Ganex V-216 available from GAF Corporation; acrylicacrylate copolymer; and the like.

Generally, film formers can be used in amounts of about 0.1% to about 10% by weight of the total composition with about 1% to about 8% being preferred and about 0.1% to about 5% being most preferred. Humectants can

also be used in effective amounts, including: fructose; glucose; glutamic acid; glycerin; honey; maltitol; methyl gluceth-10; methyl gluceth-20; propylene glycol; sodium lactate; sucrose; and the like.

## 5 Preservatives

Preservatives according to certain compositions of the invention include, without limitation: butylparaben; ethylparaben; imidazolidinyl urea; methylparaben; O-phenylphenol; propylparaben; quaternium-14; quaternium-15;  
10 sodium dehydroacetate; zinc pyrithione; and the like.

The preservatives are used in amounts effective to prevent or retard microbial growth. Generally, the preservatives are used in amounts of about 0.1% to about 1% by weight of the total composition with about 0.1% to about  
15 0.8% being preferred and about 0.1% to about 0.5% being most preferred.

## Perfumes

Perfumes (fragrance components) and colorants (coloring agents) well  
20 known to those skilled in the art may be used in effective amounts to impart the desired fragrance and color to the compositions of the invention.

Other ingredients which can be added or used in amounts effective for their intended use, including: biological additives to enhance performance or  
25 consumer appeal such as amino acids, proteins, vanilla, aloe extract, bioflavinoids, and the like; buffering agents, chelating agents such as EDTA; emulsion stabilizers; pH adjusters; opacifying agents; and propellants such as butane carbon dioxide, ethane, hydrochlorofluorocarbons 22 and 142b, hydrofluorocarbon 152a, isobutane, isopentane, nitrogen, nitrous oxide, pentane,  
30 propane, and the like.

The ingredients described above --sunscreening agents, emollients, emulsifiers, surfactants, solvents for sunscreening agents, waxes, thickeners, film  
35 formers, humectants, preservatives, surfactants, perfumes, coloring agents, biological additives, buffering agents, chelating agents, emulsion stabilizers, opacifying agents, pH adjusters, and propellants-- are well known to those skilled in the art. The determination of which ingredients to use to obtain the intended formulations, and the determination of the amounts which may be used to achieve the intended functions and effects of these ingredients are well within

the capabilities of those skilled in the art without the need for undue experimentation. Further information may be obtained on these ingredients, for example, by reference to: Cosmetics & Toiletries, Vol. 102, No. 3, March 1987; Balsam, M.S., et al., editors, Cosmetics Science and Technology, 2nd edition, Vol. 1, pp 27-104 and 179-222 Wiley-Interscience, New York, 1972; Cosmetics & Toiletries, Vol. 104, pp 67-111, February 1989; Cosmetics & Toiletries, Vol. 103, No. 12, pp 100-129, December 1988; Nikitakis, J.M., editor, CTFA Cosmetic Ingredient Handbrook, First Edition, published by The Cosmetic, Toiletry and Fragrance Association, Inc., Washington, D.C., 1988; Mukhtar, H, editor, Pharmacology of the Skin, CRC Press 1992; and Green, F J, The Sigma-Aldrich Handbook of Stains, Dyes and Indicators.,Aldrich Chemical Company, Milwaukee WI, 1991.

A representative lotion formulation is listed below in Table 1, which is broken into three parts.

Table 1: TYPICAL LOTION FORMULA

<u>Part 1</u>		
	lanolin	0.2-1%
20	cocoa butter	2.0-5%
	emcol RHT (glyceryl searate) <sup>1</sup>	2.0-4%
	hystren~ 5016 (stearic acid) <sup>2</sup>	2.0-4%
	vitamin E acetate	0.1-0.5%
	aloe vera lipo quinone extract	0.1-1.0%
25	jojoba o	0.1-1.0%
	mineral oil	1.0-7%
	propylparaben	0.1-1%
	medical fluid 360 (dimethicone) <sup>3</sup>	0.1-1%
<u>Part 2</u>		
30	water	40-60%
	carbopol 941 (1%) (polyacrylic acid polymer) <sup>4</sup>	10-35%
	propylene glycol	2.0-7%
	triethanolamine 99%	0.1-3%
35	lanogel 41 (PEG-75 lanolin) <sup>5</sup>	0.25-1%
	methylparaben	0.1-0.5%
	sequestrene Na2	0.01-0.08%



Part 3

0.01-.5%

perfume

Footnotes

- 5 1. Witco Corp., Organics Division, NY, NY (also Witconol RHT)
2. Humko Chemical, Memphis, Tenn.
3. Dow Corning Corp., Midland, Michigan
4. B.F. Goodrich Specially Polymers and Chemical Division, Cleveland, Ohio
5. Amerchol Corp., Edison, NJ

10

To make the formulation listed in Table 1, parts 1 and 2 are heated separately to 180°F. Part 1 is then added to Part 2. The resultant blend is cooled to 120°F and Part 3 is then added.

15

Other examples of formulations which are useful according to the invention include oil-in-water creams, oil-in-water lotions, water-in-oil lotions, oil-in-water resistant creams and lotions, sticks, gels, oils and mousses. Such formulations are found, for example, in *Cosmetics & Toiletries*, Vol. 102, pp 117-130, March 1987, the disclosure of which is incorporated herein by reference.

20

Still other examples of formulations which are useful according to the invention include hand and body lotions, oil-in-water emollient creams, moisturizing lotions, after sun emollient stick, facial spray mist, skin mousse and moisturizing gel. Such formulations are found, for example, in *Cosmetics & Toiletries*, Vol. 102, pp ~47-160, April 1987, the disclosure of which is incorporated herein by reference.

25

Those skilled in the art will appreciate that the formulations described in the above cited *Cosmetics & Toiletries* references (March and April 1987) represent types of formulations which may be suitably modified to allow for the addition of color indicators, and that such modifications may be accomplished without the need for undue experimentation.

30

The CIs according to the invention include several distinct compounds, including the following:

35

**PHENOLPHTHALEIN**

3,3-Bis(4-hydroxyphenyl)-1(3H)-isobenzofuranone . 3,3-Bis (4-hydroxyphenyl)phthalide

Mol. Form.:  $C_{20}H_{14}O_4$

F.W.: 318.33

Appearance: White powder

5 Solubility:           H<sub>2</sub>O           1mg/ml  
                           EGME       100mg/ml  
                           ETOH       30mg/ml

10 Phenolphthalein, 3,3-Bis(4-hydroxyphenyl)-1(3H)-isobenzofuranone . 3,3-Bis (4-hydroxyphenyl)phthalide, a preferred acid-base indicator according to the invention, is made by condensing one mole of phthalic anhydride with two moles of phenol. The condensation product is usually isolated as the colorless lactone form. The disodium salt form is red due to the opening of the lactone ring and the concomitant paraquinoid-chromophore formation. However, with the addition of enough sodium hydroxide to form the trisodium carbinol salt, it becomes colorless again due to the elimination of the paraquinoidal structure.

**s-CRESOLPHTHALEIN:** 3,3-Dimethylphenolphthalein

Mol. Form.:  $C_{22}H_{18}O_4$

F.W.: 346.38

20 Appearance: Beige powder

Solubility:           H<sub>2</sub>O           1mg/ml  
                           EGME       90mg/ml  
                           ETOH       50mg/ml

25 s-Cresolphthalein, 3,3-Dimethylphenolphthalein, is a member of the phthalein-indicator series and is made by condensing one mole of phthalic anhydride with two moles of s-cresol. This white, crystalline-powder product is soluble in alcohol and aqueous alkali solutions and very slightly soluble in water. An acid-base indicator, it has a visual-transition interval extending from pH 8.2(colorless) to pH 9.8 (red) and a pK of 9.4.

**THYMOLPHTHALEIN**

Mol. Formula:  $C_{28}H_{30}O_4$

F.W.: 430.54

35 Appearance: White powder

Solubility:           H<sub>2</sub>O           1mg/ml  
                           EGME       100mg/ml  
                           ETOH       70mg/ml

Thymolphthalein is a hydroxytriarylmethane indicator that is made by condensing two moles of thymol with one mole of phthalic anhydride in the presence of heat and a condensing agent, such as stannin chloride. It is soluble in the lower aliphatic alcohols, acetone and dilute alkalies. It has a visual-transition interval of pH 8.8 (colorless) to pH 10.5 (blue)

### Fluorescent Indicator

#### COUMARIN

10 Mol. Formula:  $C_9H_6O_2$   
F.W.: 146.15  
Appearance: White crystalline powder  
Solubility:  $H_2O$  <0.1mg/ml  
EGME <0.1mg/ml  
15 ETHOL <0.1mg/ml

Coumarin is used as a fluorescent indicator displaying a fluorescent-transition interval of pH 8.0 (colorless) to pH 9.5 (green).

20 Other fluorescent indicators according to the invention include: (1) 2,3-dioxyxanthone with a transition interval pH 5.4 (colorless) to pH 7.6 blue/violet; and (ii) Coumeric acid with a transition interval pH 7.2 (colorless) to pH 7.5 (Blue).

25 Other nonfluorescent indicators according to the invention include: (i) 6,8-dinitro-2,4-(1H) quinazolinedione with a transition interval pH 6.4 (colorless) to pH 8.0 (yellow); and (ii) ethyl-bis (2,4-dimethylphenyl) ethanoate with a transition interval pH 8.4 (colorless) to pH 9.6 (Blue).

30 Figure 2 shows a graph illustrating the effects of indicator concentration on the time course of CI change from visible to clear. Specifically, a phenolphthalein color indicator was dissolved in phosphate buffered saline at the indicated concentration and applied to a subjects skin. The time from application to the complete change in color was measured and recorded. The bars indicate the  
35 mean time of three trials.

Figure 3 shows a graph illustrating the effect of concentration on a CI change from visible to clear when dissolved in a common sunscreen in ten volunteers. The concentrations were 20 and 50mg/ml. Specifically, in Figure 3,

the time of color change (on the vertical axis) at two concentrations of phenolthalien dissolved in a common sunscreen was measured on the forearms of ten separate volunteers. Variability was expected and observed. A concentration of 50mg/ml provided the most consistant results of the indicator  
5 changing from visible to colorless in 1-2 minutes.

Figure 4 is a diagrammatic representation of an apparatus according to the invention. Specifically, Figure 4 shows a cap 100 constructed according to the invention. The cap 100 contains a color indicator 102 and includes a threading  
10 portion 104 (illustrated as an outline because the threads are internal to the illustrated view) which can attach, via a threading or screw action, onto a lotion container 106 (specifically onto the top threads 107). The lotion container 106 is of the prior art type known to the public for containing lotions, creams, gels, mousses, sunscreen agents, and the like (collectively, the "lotion 108"). The  
15 invention colors the lotion 108 upon use by a user desirous of applying the lotion 108 to the user's skin by mixing the color indicator 102 with the lotion 108 during application. Accordingly, the lotion 108 appears colored upon application, but typically becomes colorless after a period of time on the user's skin (depending upon the intended color of the lotion 108).  
20

Figure 4A illustrates further features of the cap 100. Specifically, Figure 4A shows a cutaway side view of the cap 100 with threading portion 104 used to attach the cap 100 to a container, e.g., the container 106 of Figure 4. A conduit 110 provides a path for the lotion to pass through the cap 100 to the user's skin, while  
25 a top seal 112 selectively seals the cap 100, and hence the lotion, so that the lotion 108 is safely sealed while not in use. Such a cap is known to those skilled in the art.

Figure 4A also illustrates a twist portion 114 which provides a user with a  
30 mechanism to control the flow of color indicator to the conduit 110. A user selectively twists the top portion 114 while keeping the lower portion 116 still to adjust the overlapping flow holes 118. These flow holes 118, shown in Figure 4B, provide varying flow diameters between the cavity 120, containing the color indicator 102, and the conduit 110. Accordingly, a user can adjust the amount of  
35 color added to the lotion upon application, from No Flow (i.e., no color), to Small Flow (i.e, some color), to Large Flow (i.e., maximum color), such as illustrated in Figure 4B.

It is accordingly intended that all matter contained in the above description or shown in the accompanying drawings be interpreted as illustrative rather than in a limiting sense.

5           It is also intended that the following claims cover all of the generic and specific features of the invention as described herein, and all statements of the scope of the invention which, as a matter of language, might be said to fall there between.

10           Having described the invention, what is claimed as new and secured by Letters Patent is:

1. In a composition for application to human skin, the improvement comprising a physiologically compatible pH indicator having substantially no visible color within a pH range of the human skin, and having a visible color outside the pH range.

5

2. In a composition of claim 1, the further improvement wherein the composition is selected from the group of lotions, mousses, gels, medications, cremes, moisturizers, lotions, ointments, waxed based sticks, aerosols, alcohol sticks, oil-in-water creams, oil-in-water lotions, water-in-oil lotions, oil-in-water resistant creams and lotions, oils, hand and body lotions, oil-in-water emollient  
10 creams, moisturizing lotions, after sun emollient sticks, facial spray mist, skin mousse, moisturizing gel, and mixtures thereof.

3. In a composition of claim 1, the further improvement comprising a  
15 sunscreen agent, the sunscreen agent being selected from the group of UVA-type, UVB-type, and mixtures thereof.

4. In a composition of claim 3, the further improvement wherein the  
20 sunscreen agent comprises between approximately 2% to 20% of weight of the composition.

5. In a composition of claim 3, the further improvement wherein the  
25 sunscreen agent comprises between approximately 2% to 15% of weight of the composition.

6. In a composition of claim 3, the further improvement wherein the UVB-type sunscreen agent is selected from the group of DEA Methoxycinnamate (diethanolamine salt of p-methoxy hydro cinnamate), Ethyl Dihydroxypropyl PABA (ethyl dihydroxypropyl p-aminobenzoate), Glycerol PABA (glyceryl-p-aminobenzoate), Homosalate (Homomenthyl salicylate), Octocrylene (2-ethylhexyl-2-cyano-3,3-diphenylacrylate), Octyl Dimethyl PABA (Octyl dimethyl p-aminobenzoate, 2-ethylhexyl p-dimethylaminobenzoate, Padimate O), Octyl Methoxycinnamate (2-ethylhexyl-p-methoxycinnamate), Octyl Salicylate (2-ethylhexyl salicylate), PABA (p-amino benzoic acid), 2-Phenyl-benzimidazole-5-Sulphonic acid (Novantisol), TEA Salicylate (triethanolamine salicylate), 3-(4-methylbenzylidene)camphor or 3-(4-methylbenzylidene)boran-2-one, and Etocrylene (2-ethyl-2-cyano-3,3"-diphenylacrylate).

30  
35

7. In a composition of claim 3, the further improvement wherein the UVA-type sunscreen agent is selected from the group of Benzophenone-3 (2-hydroxy-4-methoxy-benzophenone), Benzophenone-4 (sulisobenzone), Benzophenone-8 (dioybenzone), Menthyl Anthranilate (Menthyl-O-aminobenzoate),  
5 Benzophenone-1 (2,4,-dihydroxybenzophenone), Benzophenone-2 (2,2',4,4'-tetrahydroxy-benzohphenone), Benzophenone-6 (2,2'-dihydroxy-4,4'-dimethoxy benzophenone), Benzophenone-12 (octabenzene), 4-isopropyl dibenzoyl methane (1-p-cumenyl-3-phenylpropane-1,3-dione), and Butyl methyl dibenzoyl methane (4-t-butyl-4'-methoxydibenzoyl methane).
- 10 8. In a composition of claim 1, the further improvement wherein the pH indicator dissolves in the composition .
- 15 9. In a composition of claim 1, the further improvement wherein the pH indicator is selected from the group of phenolphthalein, s-cresolphthalein, thymolphthalein, quinazolinedione, ethyl-bis ethanoate, Coumarin, dioxyxanthone, and 6.8-dinitro-2,4-(1H) quinazolinedione.
- 20 10. In a composition of claim 1, the further improvement comprising a carrier selected from the group of water, glycerol, alcohol, propylene glycol, fatty alcohols, triglycerides, fatty acid esters, and mineral oils.
- 25 11. In a composition of claim 1, the further improvement comprising one or more physical sunscreens agents selected from the group of (i) red petrolatum, in amounts of about 30% to about 99% by weight of the composition, (ii) titanium dioxide, in amounts of about 2% to about 25% by weight of the composition, and (iii) talc, (iv) kaolin, (v) chalk, and (vi) precipitated silica, each of (iii)-(vi) being in amounts of about 1% to about 10% by weight of the composition.
- 30 12. In a composition of claim 1, the further improvement comprising a sunscreens agent having lawsone hydroxynaphthoquinone,  $C_{10}H_6O_3$ , with dihydroxy acetone.
- 35 13. In a composition of claim 1, the further improvement comprising a sunscreen agent selected from the group of (i) a UVB-type sunscreen agent having about 1.5% to about 8.0% by weight of a octyl dimethyl PABA composition; (ii) octyl para- methoxycinnamate in amounts of about 1.5% to about 7.5% by weight of the composition; (iii) homomenthyl salicylate in

amounts of about 4.0% to about 15% by weight of the composition; and (iv) octyl salicylate in amounts of about 3% to about 5% by weight of the composition.

5 14. In a composition of claim 1, the further improvement comprising a UVA-type sunscreensing agent selected from the group of (i) benzophenone-3 in amounts of about 0.5% to about 6% by weight of the composition; (ii) benzophenone-8 in amounts of about 0.5% to about 3% by weight of the composition; and (iii) menthyl anthanilate in amounts of about 3.5% to about 5.0% by weight of the composition.

10 15. In a composition of claim 1, the further improvement comprising at least one of the following: (i) emollients that are effective to prevent or relieve dryness, (ii) emulsifiers that are effective to provide uniform blending of ingredients of the composition, (iii) cleansing agent surfactants, (iv) waxes, (v) 15 thickeners, (vi) film formers, (vii) preservatives, and (viii) perfumes.

20 16. In a composition of claim 15, the further improvement wherein the emollients are selected from the group of hydrocarbon oils and waxes; silicone oils; triglyceride esters; acetoglyceride esters; ethoxylated glyceride; alkyl esters; alkenyl esters; fatty acids; fatty alcohols; fatty alcohol ethers; etheresters; lanolin and lanolin derivatives; polyhydric alcohols (polyols) and polyether derivatives; polyhydric alcohol (polyol) esters; wax esters; beeswax derivatives; vegetable waxes; phospholipids; sterols; and amides.

25 17. In a composition of claim 15, the further improvement wherein the emollients are selected from the group of mineral oil, lanolin oil, mink oil, coconut oil, cocoa butter, olive oil, almond oil, macadamia nut oil, aloa extract, jojoba oil, safflower oil, corn oil, liquid lanolin, cottonseed oil, peanut oil, 30 purcellin oil, perhydrosqualene (squalene), castor oil, polybutene, odorless mineral spirits, sweet almond oil, avocado oil, calophyllum oil, ricin oil, vitamin E acetate, olive oil, mineral spirits, cetearyl alcohol (mixture of fatty alcohols consisting predominantly of cetyl and stearyl alcohols), linolenic alcohol, oleyl alcohol, octyl dodecanol, the oil of cereal germs such as the oil of wheat germ 35 cetearyl octanoate (ester of cetearyl alcohol and 2-ethylhexanoic acid), cetyl palmitate, diisopropyl adipate, isopropyl palmitate, octyl palmitate, isopropyl myristate, butyl myristate, glyceryl stearate, hexadecyl stearate, isocetyl stearate, octyl stearate, octylhydroxy stearate, propylene glycol stearate, butyl stearate, decyl oleate, glyceryl oleate, acetyl glycerides, the octanoates and benzoates of (C12-C15) alcohols, the octanoates and decanoates of alcohols and polyalcohols such as



those of glycol and glycerol, and ricin- oleates of alcohols and poly alcohols such as those of isopropyl adipate, hexyl laurate, octyl dodecanoate, dimethicone copolyol, dimethiconol, lanolin, lanolin alcohol, lanolin wax, hydrogenated lanolin, hydroxylated lanolin, acetylated lanolin, petrolatum, isopropyl lanolate, cetyl myristate, glyceryl myristate, myristyl myristate, myristyl lactate, cetyl alcohol, isostearyl alcohol stearyl alcohol, and isocetyl lanolate.

18. In a composition of claim 15, the further improvement wherein the emulsifiers are selected from the group of fatty acid soaps, Polyol fatty acid monoesters, Sulfuric esters, Polyol fatty acid monoesters, N(stearoyl colamino formylmethyl) pyridium, N-soya-N-ethyl morpholinium ethosulfate, Alkyl dimethyl benzyl ammonium chloride, diisobutylphenoxytheoxyethyl dimethyl benzyl ammonium chloride, cetyl pyridium chloride, polyoxyethylene fatty alcohol ethers, polyoxypropylene fatty alcohol ethers, polyoxyethylene fatty acid esters, polyoxyethylene sorbitan fatty acid esters, sorbitan fatty acid esters, polyoxyethylene glycol fatty acid esters, and polyol fatty acid esters.

19. In a composition of claim 15, the further improvement wherein the surfactants are selected from the group of foam boosters, hydrotropes, solubilizing agents, suspending agents and nonsurfactants.

20. In a composition of claim 15, the further improvement wherein the surfactants are selected from the group of (i) Amphoteric surfactants, (ii) Anionic surfactants, (iii) Cationic surfactants, and (iv) Nonionic surfactants.

21. In a composition of claim 15, the further improvement wherein the waxes are selected from the group of animal waxes, wool waxes, plant waxes, mineral waxes, petroleum waxes, synthetic waxes, and hydrocarbon waxes.

22. In a composition of claim 15, the further improvement wherein the film formers are selected from the group of acrylamide/sodium acrylate copolymer; ammonium acrylates copolymer; Balsam Peru; cellulose gum; ethylene/maleic anhydride copolymer; hydroxyethylcellulose; hydroxypropylcellulose; polyacrylamide; polyethylene; polyvinyl alcohol; pvm/MA copolymer (polyvinyl methylether/ maleic anhydride); PVP (polyvinylpyrrolidone); maleic anhydride copolymer; PVP/hexadecene copolymer; and acryliclacrylate copolymer.

23. In a composition of claim 15, the further improvement wherein the film formers comprise an amount of about 0.1% to about 10% by weight of the composition.
- 5 24. In a composition of claim 15, the further improvement wherein the film formers comprise an amount of about 1% to about 8% by weight of the composition.
- 10 25. In a composition of claim 15, the further improvement wherein the preservatives are selected from the group of butylparaben; ethylparaben; imidazolidinyl urea; methylparaben; O-phenylphenol; propylparaben; quaternium-14; quaternium-15; sodium dehydroacetate; zinc pyrithione; and mixtures thereof.
- 15 26. In a composition of claim 15, the further improvement wherein the preservatives comprise an amount of about 0.1% to about 1% by weight of the composition.
- 20 27. In a composition of claim 15, the further improvement wherein the preservatives comprise an amount of about 0.1% to about 0.5% by weight of the composition.
- 25 28. In a composition of claim 1 wherein the pH indicator is phenolphthalein and the visible color of the phenolphthalein at a pH of at least 8.0 is red.
29. In a composition of claim 1 wherein the pH range is between about 7.0 and 7.5.
- 30 30. Apparatus for temporarily colorizing skin, comprising
- (A) a cap housing for housing a physiologically compatible pH indicator, the pH indicator having substantially no visible color within a pH range of about 7.0 to 7.5, and having a visible color outside the pH range,
- 35 (B) means for attaching the cap housing to a container such that a composition within the container passes through the cap housing to exit the container, and

(C) means for mixing the pH indicator with the composition while the composition passes through the cap housing.

31. Apparatus according to claim 30, further comprising means for selectively adjusting the amount of pH indicator mixed with the composition, thereby varying the amount of color applied to the skin.

32. Apparatus according to claim 30, further comprising a pH indicator within the cap housing, the pH indicator being selected from the group of phenolphthalein, s-cresolphthalein, thymolphthalein, quinazolinedione, ethyl-bis ethanoate, Coumarin, dioxyxanthone, and 6.8-dinitro-2,4-(1H) quinazolinedione.

33. Apparatus according to claim 30 wherein the composition is selected from the group of lotions, mousses, gels, medications, cremes, moisturizers, lotions, ointments, waxed based sticks, aerosols, alcohol sticks, oil-in-water creams, oil-in-water lotions, water-in-oil lotions, oil-in-water resistant creams and lotions, oils, hand and body lotions, oil-in-water emollient creams, moisturizing lotions, after sun emollient sticks, facial spray mist, skin mousse, moisturizing gel, and mixtures thereof.

34. Apparatus according to claim 30, further comprising means for sealing the cap housing wherein the composition is inhibited from exiting the container once the cap is attached to the container.

35. In a method of manufacturing a lotion of the type which is suitable for application to human skin, the improvement comprising the steps of introducing a physiologically compatible pH indicator to the lotion, the pH indicator having substantially no visible color within a pH range of the human skin, and having a visible color outside the pH range.

36. In a method according to claim 35, the improvement wherein the pH range is between approximately 7.0 and 7.5.

37. A method of obtaining uniform melanin production in the epidermis and of protecting the epidermis from exposure to UV radiation, comprising the steps of applying a protective amount of a composition having a pH outside the range of about 7.0 to 7.5 to the epidermis, the composition comprising a UV-absorbing compound and a pH indicator, the pH indicator having a visible color outside

the pH range of about 7.0 to 7.5, having substantially no visible color in the pH range, and becoming colorless upon prolonged contact with the epidermis, the presence of the visible color on the epidermis being indicative of the location and amount of composition thereon, thereby enabling even distribution of the composition, and resulting in uniform malanin production and protection from exposure to UV radiation.

38. A method according to claim 37 wherein the pH indicator is selected from the group of phenolphthalein, s-cresolphthalein, thymolphthalein, quinazolinedione, ethyl-bis ethanoate, Coumarin, dioxyxanthone, and 6.8-dinitro-2,4-(1H) quinazolinedione.

39. A method of preparing a suntan lotion composition comprising the step of mixing a UV-absorbing compound in a carrier with a pH indicator, the pH indicator having a visible color above about pH 7.5, and having substantially no color at a pH of a human epidermis, wherein the composition has a free-standing visible color and becomes colorless upon prolonged contact with the epidermis.

40. A method according to claim 39 wherein the pH indicator further has a visible color below approximately pH 7.0.

## AMENDED CLAIMS

[received by the International Bureau on 26 April 1996 (26.04.96); original claims 1-29 and 37 amended; remaining claims unchanged (8 pages)]

1. A sunscreen for application to human skin, comprising

a formulation selected of the group consisting of lotions, mousses, gels, medications, cremes, moisturizers, lotions, ointments, waxed based sticks, aerosols, alcohol sticks, oil-in-water creams, oil-in-water lotions, water-in-oil lotions, oil-in-water resistant creams and lotions, oils, hand and body lotions, oil-in-water emollient creams, moisturizing lotions, after sun emollient sticks, facial spray mist, skin mousse, moisturizing gel, and mixtures thereof;

10 a sunscreen agent and a physiologically compatible pH indicator, the formulation, sunscreen agent and the pH indicator forming a sunscreen composition which has substantially no visible color within a pH range of the human skin, and a visible color outside the pH range.

15 2. A sunscreen according to claim 1, further comprising means for applying the sunscreen topically to human skin.

3. A sunscreen according to claim 1, wherein the sunscreen agent is selected from the group consisting of UVA sunscreen, UVB sunscreen, and mixtures thereof.

4. A sunscreen according to claim 1, wherein the sunscreen agent comprises between approximately 2% to 20% of weight of the composition.

25 5. A sunscreen according to claim 1, wherein the sunscreen agent comprises between approximately 2% to 15% of weight of the composition.

6. A sunscreen according to claim 3, wherein the UVB sunscreen is selected from the group consisting of DEA Methoxycinnamate (diethanolamine salt of p-methoxy hydro cinnamate), Ethyl Dihydroxypropyl PABA (ethyl dihydroxypropyl p-aminobenzoate), Glycerol PABA (glyceryl-p-aminobenzoate), Homosalate (Homomenthyl salicylate), Octocrylene (2-ethylhexyl-2-cyano-3,3-diphenylacrylate), Octyl Dimethyl PABA (Octyl dimethyl p-aminobenzoate, 2-ethylhexyl p-dimethylaminobenzoate, Padimate O), Octyl Methoxycinnamate (2-ethylhexyl-p-methoxycinnamate), Octyl Salicylate (2-ethylhexy salicylate), PABA (p-amino benzoic acid), 2-Phenyl-benzimidazole-5-Sulphonic acid (Novantisol), TEA Salicylate (triethanolamine salicylate), 3-(4-methylbenzylidene)camphor or 3-

(4-methylbenzylidene)boran-2-one, and Etocrylene (2-ethyl-2-cyano-3,3'-diphenylacrylate).

7. A sunscreen according to claim 3, wherein the UVA sunscreen is selected from the group consisting of Benzophenone-3 (2-hydroxy-4-methoxy-benzophenone), Benzophenone-4 (sulisobenzone), Benzophenone-8 (dioybenzone), Menthyl Anthranilate (Menthyl-O-aminobenzoate), Benzophenone-1 (2,4-dihydroxybenzophenone), Benzophenone-2 (2,2',4,4'-tetrahydroxy-benzohpenone), Benzophenone-6 (2,2'-dihydroxy-4,4'-dimethoxy benzophenone), Benzophenone-12 (octabenzene), 4-isopropyl dibenzoyl methane (1-p-cumenyl-3-phenylpropane-1,3-dione), and Butyl methyl dibenzoyl methane (4-t-butyl-4'-methoxydibenzoyl methane).
8. A sunscreen according to claim 1, wherein the pH indicator dissolves in the composition .
9. A sunscreen according to claim 1, wherein the pH indicator is selected from the group consisting of phenolphthalein,  $\sigma$ -cresolphthalein, thymolphthalein, quinazolinedione, ethyl-bis ethanoate, Coumarin, dioxyxanthone, and 6.8-dinitro-2,4-(1H) quinazolinedione.
10. A sunscreen according to claim 1, further comprising a carrier selected from the group consisting of water, glycerol, alcohol, propylene glycol, fatty alcohols, triglycerides, fatty acid esters, and mineral oils.
11. A sunscreen according to claim 1, wherein the sunscreen agent comprises one or more physical suncreening agents selected from the group consisting of (i) red petrolatum, in amounts of about 30% to about 99% by weight of the composition, (ii) titanium dioxide, in amounts of about 2% to about 25% by weight of the composition, and (iii) talc, (iv) kaolin, (v) chalk, and (vi) precipitated silica, each of (iii)-(vi) being in amounts of about 1% to about 10% by weight of the composition.
12. A sunscreen accoring to claim 1, wherein the sunscreen agent comprises lawsone hydroxynaphthoquinone,  $C_{10}H_6O_3$ , with dihydroxy acetone.
13. A sunscreen according to claim 1, wherin the sunscreen agent is selected from the group consisting of (i) UVB sunscreen having about 1.5% to about 8.0%

by weight of a octyl dimethyl PABA composition; (ii) octyl para-methoxycinnamate in amounts of about 1.5% to about 7.5% by weight of the composition; (iii) homomenthyl salicylate in amounts of about 4.0% to about 15% by weight of the composition; and (iv) octyl salicylate in amounts of about 3% to about 5% by weight of the composition.

14. A sunscreen according to claim 1, wherein the sunscreen agent comprises a UVA sunscreen selected from the group consisting of (i) benzophenone-3 in amounts of about 0.5% to about 6% by weight of the composition; (ii) benzophenone-8 in amounts of about 0.5% to about 3% by weight of the composition; and (iii) menthyl anthanilate in amounts of about 3.5% to about 5.0% by weight of the composition.

15. A sunscreen according to claim 1, further comprising at least one of the following: (i) emollients that are effective to prevent or relieve dryness, (ii) emulsifiers that are effective to provide uniform blending of ingredients of the composition, (iii) cleansing agent surfactants, (iv) waxes, (v) thickeners, (vi) film formers, (vii) preservatives, and (viii) perfumes.

16. A sunscreen according to claim 15, wherein the emollients are selected from the group consisting of hydrocarbon oils and waxes; silicone oils; triglyceride esters; acetoglyceride esters; ethoxylated glyceride; alkyl esters; alkenyl esters; fatty acids; fatty alcohols; fatty alcohol ethers; etheresters; lanolin and lanolin derivatives; polyhydric alcohols (polyols) and polyether derivatives; polyhydric alcohol (polyol) esters; wax esters; beeswax derivatives; vegetable waxes; phospholipids; sterols; and amides.

17. A sunscreen according to claim 15, wherein the emollients are selected from the group consisting of mineral oil, lanolin oil, mink oil, coconut oil, cocoa butter, olive oil, almond oil, macadamia nut oil, aloa extract, jojoba oil, safflower oil, corn oil, liquid lanolin, cottonseed oil, peanut oil, purcellin oil, perhydrosqualene (squalene), castor oil, polybutene, odorless mineral spirits, sweet almond oil, avocado oil, calophyllum oil, ricin oil, vitamin E acetate, olive oil, mineral spirits, cetearyl alcohol (mixture of fatty alcohols consisting predominantly of cetyl and stearyl alcohols), linolenic alcohol, oleyl alcohol, octyl dodecanol, the oil of cereal germs such as the oil of wheat germ cetearyl octanoate (ester of cetearyl alcohol and 2-ethylhexanoic acid), cetyl palmitate, diisopropyl adipate, isopropyl palmitate, octyl palmitate, isopropyl myristate, butyl myristate,

glyceryl stearate, hexadecyl stearate, isocetyl stearate, octyl stearate, octylhydroxy stearate, propylene glycol stearate, butyl stearate, decyl oleate, glyceryl oleate, acetyl glycerides, the octanoates and benzoates of (C12-C15) alcohols, the octanoates and decanoates of alcohols and polyalcohols such as those of glycol and glycerol, and ricin- oleates of alcohols and poly alcohols such as those of isopropyl adipate, hexyl laurate, octyl dodecanoate, dimethicone copolyol, dimethiconol, lanolin, lanolin alcohol, lanolin wax, hydrogenated lanolin, hydroxylated lanolin, acetylated lanolin, petrolatum, isopropyl lanolate, cetyl myristate, glyceryl myristate, myristyl myristate, myristyl lactate, cetyl alcohol, isostearyl alcohol stearyl alcohol, and isocetyl lanolate.

18. A sunscreen according to claim 15, wherein the emulsifiers are selected from the group consisting of fatty acid soaps, Polyol fatty acid monoesters, Sulfuric esters, Polyol fatty acid monoesters, N(stearoyl colamino formylmethyl) pyridium, N-soya-N-ethyl morpholinium ethosulfate, Alkyl dimethyl benzyl ammonium chloride, diisobutylphenoxythoxyethyl dimethyl benzyl ammonium chloride, cetyl pyridium chloride, polyoxyethylene fatty alcohol ethers, polyoxypropylene fatty alcohol ethers, polyoxyethylene fatty acid esters, polyoxyethylene sorbitan fatty acid esters, sorbitan fatty acid esters, polyoxyethylene glycol fatty acid esters, and polyol fatty acid esters.

19. A sunscreen according to claim 15, wherein the surfactants are selected from the group consisting of foam boosters, hydrotropes, solubilizing agents, suspending agents and nonsurfactants.

20. A sunscreen according to claim 15, wherein the surfactants are selected from the group consisting of (i) Amphoteric surfactants , (ii) Anionic surfactants, (iii) Cationic surfactants, and (iv) Nonionic surfactants.

21. A sunscreen according to claim 15, wherein the waxes are selected from the group consisting of animal waxes, wool waxes, plant waxes, mineral waxes, petroleum waxes, synthetic waxes, and hydrocarbon waxes.

22. A sunscreen according to claim 1, wherein the sunscreen composition becomes substantially clear when in contact with human skin for less than about four minutes.



23. In a composition for application to human skin, the improvement comprising (A) a physiologically compatible pH indicator having substantially no visible color within a pH range of the human skin, and having a visible color outside the pH range, and (B) one or more physical  
5 sunscreens agents selected from the group of (i) red petrolatum, in amounts of about 30% to about 99% by weight of the composition, (ii) titanium dioxide, in amounts of about 2% to about 25% by weight of the composition, and (iii) talc, (iv) kaolin, (v) chalk, and (vi) precipitated silica, each of (iii)-(vi) being in amounts of about 1% to about 10% by weight of  
10 the composition.

24. In a composition for application to human skin, the improvement comprising (A) a physiologically compatible pH indicator having substantially no visible color within a pH range of the human skin, and  
15 having a visible color outside the pH range, and (B) a sunscreen agent selected from the group of (i) a UVB sunscreen having about 1.5% to about 8.0% by weight of a octyl dimethyl PABA composition; (ii) octyl para-methoxycinnamate in amounts of about 1.5% to about 7.5% by weight of the composition; (iii) homomenthyl salicylate in amounts of about 4.0% to  
20 about 15% by weight of the composition; and (iv) octyl salicylate in amounts of about 3% to about 5% by weight of the composition.

25. A composition for topical application to human skin, comprising  
25 a formulation selected from the group consisting of lotions, mousses, gels, medications, cremes, moisturizers, lotions, ointments, waxed based sticks, aerosols, alcohol sticks, oil-in-water creams, oil-in-water lotions, water-in-oil lotions, oil-in-water resistant creams and lotions, oils, hand and body lotions, oil-in-water emollient creams, moisturizing lotions, after sun emollient sticks, facial  
30 spray mist, skin mousse, moisturizing gel, and mixtures thereof; and

a physiologically compatible pH indicator, the formulation and the pH indicator having, in combination, substantially no visible color within a pH range of the human skin, a visible color outside the pH range, the formulation and the pH

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indicator further becoming substantially clear when in contact with human skin for less than about four minutes.

5 26. A composition according to claim 25, wherein the pH indicator dissolves in the formulation.

27. A composition according to claim 25, wherein the pH indicator is selected from the group consisting of phenolphthalein,  $\sigma$ -cresolphthalein, thymolphthalein, quinazolinedione, ethyl-bis ethanoate, Coumarin,  
10 dioxyxanthone, and 6.8-dinitro-2,4-(1H) quinazolinedione.

28. A composition according to claim 25, further comprising a carrier selected from the group consisting of water, glycerol, alcohol, propylene glycol, fatty alcohols, triglycerides, fatty acid esters, and mineral oils.  
15

29. A composition according to claim 25, further comprising a sunscreen agent selected from the group consisting of UVA sunscreen, UVB sunscreen, physical sunscreens, and mixtures thereof.--

20 30. Apparatus for temporarily colorizing skin, comprising

(A) a cap housing for housing a physiologically compatible pH indicator, the pH indicator having substantially no visible color within a pH range of about 7.0 to 7.5, and having a visible color outside the pH range,  
25

(B) means for attaching the cap housing to a container such that a composition within the container passes through the cap housing to exit the container, and

30 (C) means for mixing the pH indicator with the composition while the composition passes through the cap housing.

31. Apparatus according to claim 30, further comprising means for selectively adjusting the amount of pH indicator mixed with the composition, thereby  
35 varying the amount of color applied to the skin.

32. Apparatus according to claim 30, further comprising a pH indicator within the cap housing, the pH indicator being selected from the group of phenolphthalein,  $\sigma$ -cresolphthalein, thymolphthalein, quinazolinedione, ethyl-

bis ethanoate, Coumarin, dioxyxanthone, and 6.8-dinitro-2,4-(1H) quinazolinedione.

33. Apparatus according to claim 30 wherein the composition is selected from the group of lotions, mousses, gels, medications, cremes, moisturizers, lotions, ointments, waxed based sticks, aerosols, alcohol sticks, oil-in-water creams, oil-in-water lotions, water-in-oil lotions, oil-in-water resistant creams and lotions, oils, hand and body lotions, oil-in-water emollient creams, moisturizing lotions, after sun emollient sticks, facial spray mist, skin mousse, moisturizing gel, and mixtures thereof.

34. Apparatus according to claim 30, further comprising means for sealing the cap housing wherein the composition is inhibited from exiting the container once the cap is attached to the container.

35. In a method of manufacturing a lotion of the type which is suitable for application to human skin, the improvement comprising the steps of introducing a physiologically compatible pH indicator to the lotion, the pH indicator having substantially no visible color within a pH range of the human skin, and having a visible color outside the pH range.

36. In a method according to claim 35, the improvement wherein the pH range is between approximately 7.0 and 7.5.

37. A method of obtaining uniform melanin production in the epidermis and of protecting the epidermis from exposure to UV radiation, comprising the steps of applying a protective amount of a composition having a pH outside the range of about 7.0 to 7.5 to the epidermis, the composition comprising a UV-absorbing compound and a pH indicator, the pH indicator having a visible color outside the pH range of about 7.0 to 7.5, having substantially no visible color in the pH range, and becoming colorless upon prolonged contact with the epidermis, the presence of the visible color on the epidermis being indicative of the location and amount of composition thereon, thereby enabling even distribution of the composition, and resulting in uniform melanin production and protection from exposure to UV radiation.

38. A method according to claim 37 wherein the pH indicator is selected from the group of phenolphthalein, s-cresolphthalein, thymolphthalein,

AMENDED SHEET (ARTICLE 19)

quinazolinedione, ethyl-bis ethanoate, Coumarin, dioxyxanthone, and 6.8-dinitro-2,4-(1H) quinazolinedione.

39. A method of preparing a suntan lotion composition comprising the step of  
5 mixing a UV-absorbing compound in a carrier with a pH indicator, the pH  
indicator having a visible color above about pH 7.5, and having substantially no  
color at a pH of a human epidermis, wherein the composition has a free-standing  
visible color and becomes colorless upon prolonged contact with the epidermis.
- 10 40. A method according to claim 39 wherein the pH indicator further has a  
visible color below approximately pH 7.0.

**STATEMENT UNDER ARTICLE 19**

Further to our receipt of the International Search Report dated 27 February 1996, we enclose a new set of claims (8 sheets, pages 20-27) to replace the original claims (5 sheets, pages 20-24) and to further clarify the invention.

FIGURE 1

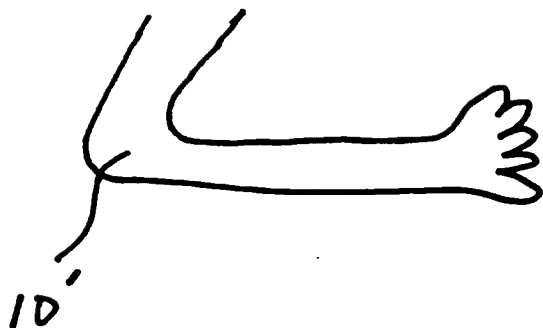
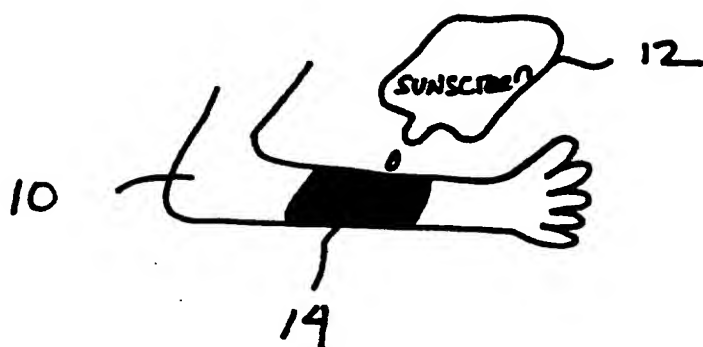


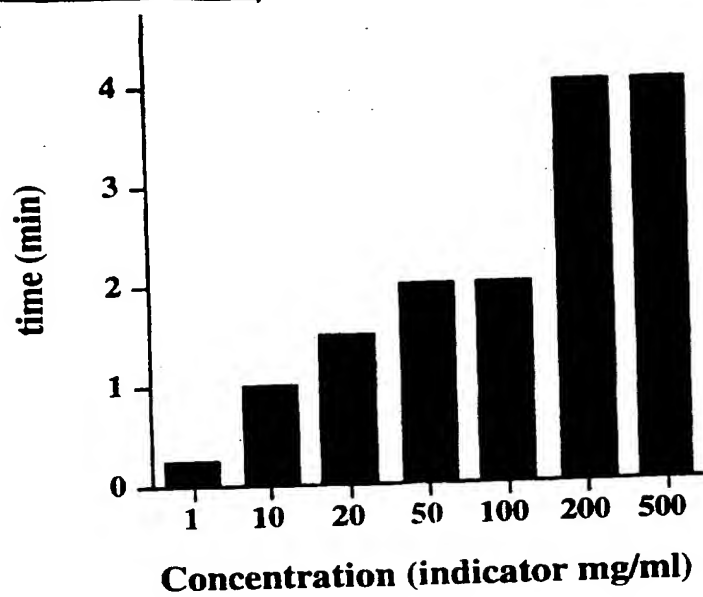
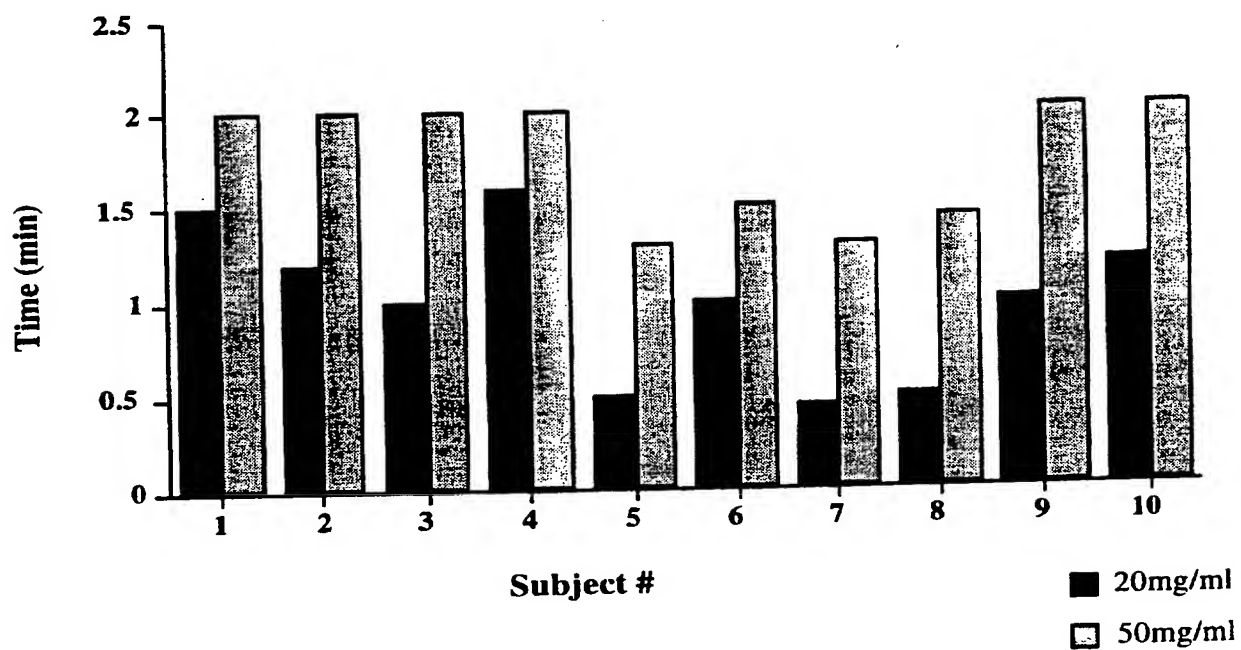
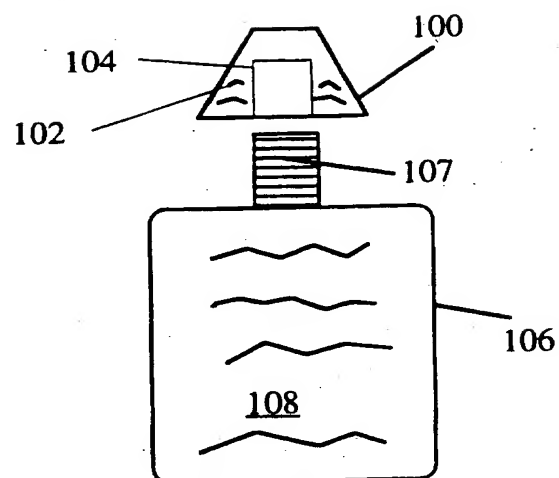
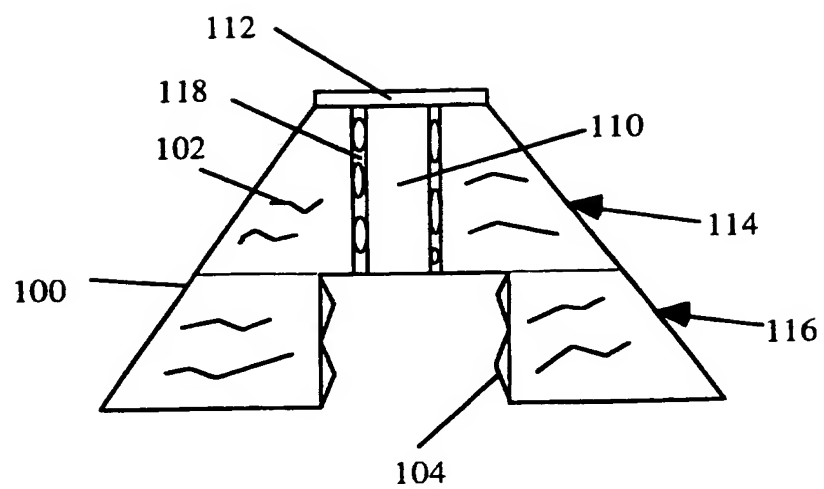
FIGURE 2

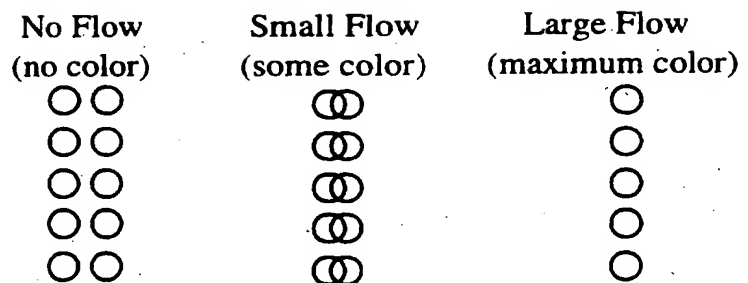
FIGURE 6



**Figure 4**

**Figure 4A**



**Figure 4B**

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US95/14915

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 7/42

US CL : 424/59, 7.1, 78.02, 78.03, 401; 514/828, 844, 846, 847, 947

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/59, 7.1, 78.02, 78.03, 401; 514/828, 844, 846, 847, 947

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 3,751,563 (RICHARDSON) 07 August 1973, See entire document.	1-10, 12 and 14-21
Y	US, A, 4,084,983 (BERNHARD ET AL.) 18 April 1978, See entire document.	1-10, 12 and 14-21

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

Special categories of cited documents:		"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance		
"E"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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"()	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed	"&"	document member of the same patent family

Date of the actual completion of the international search

15 FEBRUARY 1996

Date of mailing of the international search report

27 FEB 1996

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